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Date

1723/

Please type a plus sign (+) inside this box - + PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/031,112 TRANSMITTAL January 14, 2002 **Filing Date FORM** William H. Cork, et al. **First Named Inventor** Group Art Unit (to be used for all correspondence after initial filing) 1723 Terry K. Cecil **Examiner Name** F-5629 (0360-0017.01) Total Number of Pages in This Submission Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Χ Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please **Extension of Time Request** identify below): \$180.00 filing fee **Terminal Disclaimer** Check No. **Express Abandonment Request** Request for Refund 5 U.S. Patent References - Certificate of Mailing Х Information Disclosure Statement CD, Number of CD(s) - Return Receipt Poscard Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application By U.S. Postal Service Express Mail Response to Missing Parts Label No. EV321708265US under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. Gary W. McFarron, Esq. (Reg. No. 27,357) Individual name

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I hereby certify that this corresp mail in an envelope addressed	ondence is being deposited with the United States Poto: Commissioner for Patents, Washington, DC 2023	ostal Service 1 on this date	with sufficient postage as waskwasexx
Typed or printed name	May J. Casimiro		
Signature	Man & Commit	Date	October 30, 2003

October 30, 2003

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Attorney Docket No. F-5629 (0360-0017.01)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:	CERTIFICATE OF MAILING BY "EXPRESS MAIL"
William H. Cork, et al.	"Express Mail" Mailing Label No.: <u>EV321708265US</u>) Date of Deposit <u>October 30, 2003</u>
Serial No.: 10/031,112	I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box Addressee" service under 37 CFR 1.10 on the date indicated
Filed: January 14, 2002	above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
Group Art No.: 1723) NAME May I. Casimiro) SIGNATURE May L. Casimiro
Examiner: Not yet Assigned	
For: MEDICAL SYSTEM, METHOD AND APPARATUS EMPLOYING MEMS))

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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- Transmittal Form PTO/SB/21 (1 sheet);
- 2. Supplemental Information Disclosure Statement (2 sheets);
- 3. Information Disclosure Statement By Applicant PTO/SB/08A (1 sheet);
- 5 Prior U.S. Patents;
- 5. Fee Transmittal PTO/SB/17 (1 sheet);
- 6. Check No. 14965 in the amount of \$180.00 filing fee;
- 7. Certificate of Mailing (1 sheet); and
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Name: _	<u> May I. Casimiro</u>
Signed:	pay L. Comins

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FEE TRANSMITTAL	Complet if Known
YFEE IRANJIVIII IAL [Application Number 10/031.112

for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	Γ
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Complet if Known				
Application Number	10/031,112			
Filing Date	January 14, 2002			
First Named Inventor	William H. Cork, et al.			
Examiner Name	Terry K. Cecil			
Art Unit	1723			
Attorney Docket No.	F-5629 (0360-0017.01)			

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
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Deposit Account 50/1039	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Number	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Cook, Alex, McFarron, Manzo, Cummings Account & Mehler, Ltd.	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Commissioner is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) during the pendency of this application	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
The state of the s	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	410	2252	205	Extension for reply within second month	
1. BASIC FILING FEE Large Entity Small Entity	1253	930	2253	465	Extension for reply within third month	
Fee Fee Fee Fee Fee Fee Paid Code (\$) Code (\$)	1254	1,450	2254	725	Extension for reply within fourth month	
1001 750 2001 375 Utility filing fee	1255	1,970	2255	985	Extension for reply within fifth month	
1002 330 2002 165 Design filing fee	1401	320	2401	160	Notice of Appeal	
1003 520 2003 260 Plant filing fee	1402	320	2402	160	Filing a brief in support of an appeal	ļ
1004 750 2004 375 Reissue filing fee	1403	280	2403	140	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$)	1452	110	2452	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453	1,300	2453	650	Petition to revive - unintentional	<u> </u>
Fee from	1501	•	2501		Utility issue fee (or reissue)	
Extra Claims below Fee Paid Total Claims X	1502	470	2502	235	Design issue fee	
Independent 2** -	1503	630	2503		Plant issue fee	
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	1807	50	1807		Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	\vdash
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20	8021	40	8021	l 40	Recording each patent assignment per property (times number of properties)	
1201 84 2201 42 Independent claims in excess of 3	1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 280 2203 140 Multiple dependent claim, if not paid	1810	750	2810	375	For each additional invention to be	
1204 84 2204 42 ** Reissue independent claims over original patent	1801	750	2801	275	examined (37 CFR 1.129(b)) Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802		Request for expedited examination	
and over original patent		ı			of a design application	180.00
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**or number previously paid, if greater; For Reissues, see above	Redu	cea by	Dasic	ruing F	ee Paid SUBTOTAL (3) (\$) 180.	00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Signature

(Complete (if applicable)

(Complete (if applicable)

27,357

Telephone 312-236-8500

Date

October 30, 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:	
William H. Cork, et al.	CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label No.: EV321708265 US Date of Deposit October 30, 2003
Serial No.: 10/031,112	I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box Addressee" service under 37 CFR 1.10 on the date indicated
Filed: January 14, 2002	above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
Group Art No.: 1723) NAME May Casimiro) SIGNATURE May L. Common
Examiner: Examiner Terry Cecil) SIGNATURE / My &. Commo
For: MEDICAL SYSTEM, METHOD AND APPARATUS EMPLOYING MEMS)))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.97, Applicant hereby calls the Examiner's attention to the document listed on the attached form, which document may be material to the examination of this application. A copy of the document is enclosed herewith for the Examiner's consideration.

No inference should be drawn that any apparatus or method disclosed is equivalent to the subject invention.

The citation of this document is not to be construed as an assertion that more pertinent art could not possibly be in

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existence. Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over disclosure of any document or other art, including the disclosure of the documents discussed herein, that may be cited by the Examiner in rejecting a claim in the instant patent application.

This Supplemental Information Disclosure Statement is being filed after the mailing date of a first Office Action on the merits but before a final action or an action that closes prosecution. Accordingly, a check is enclosed for the amount of \$180.00, the fee for the submission of this Information Disclosure Statement. any additional fee is required, then authorization is hereby given to charge that amount to Deposit Account 50-1039.

Respectfully submitted,

October 30, 2003 Date:

Gary W. McFarron, Esq.

Registration No. 27,357

Cook, Alex, McFarron, Manzo,

Cummings & Mehler, Ltd.

200 West Adams St., Suite 2850

Chicago, IL 60606

Phone: (312) 236-8500

Fax: (312) 236-8176

Attorneys for Applicant

PTO/SB/08A (08-03)

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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 1

Complete if Known				
Application Number	10/031,112			
Filing Date	January 14, 2002			
First Named Inventor	W. H. Cork et al.			
Art Unit	1723			
Examiner Name	Terry K. Cecil			
Attorney Docket Number	E5629 (0360-0017 01)			

			U. S. PATENT	DOCUMENTS	-
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (If known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- r 470 000	04.40.4000	Deinen	1.30.007.ppcd.
	<u> </u>	^{US-} 5,178,603	01-12-1993	Prince	
	2	^{US-} 5,910,252	06-08-1999	Truitt et al.	
	3	^{US-} 5,928,880	07-27-1999	Wilding et al.	
	4	^{US-} 6,054,277	04-25-2000	Furcht et al.	
	5	^{US-} 6,171,253	01-09-2001	Bullister et al.	
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		FORE	GN PATENT DOCU	MENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Τ ⁶
	1	PCT Search Report	08-22-03			

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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